

Uniqueness of L -functions sharing finite sets with meromorphic functions having Deficient Poles

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Abstract. In this paper we investigate the uniqueness of L -functions sharing finite sets with meromorphic functions having deficient poles. As a consequence, we have exhibited an extended version of a recent result of A. Banerjee and A. Kundu [1]. The results obtained in this paper improve and extend a recent result due to Khoai-An-Phuong [9] and a result in [17].

1. Introduction. Main results

L -functions in the Selberg class, with the Riemann zeta function as a prototype, are important objects in number theory. In this paper, an L -function always means a non-constant L -function in the Selberg class \mathcal{S} , with the normalized condition $a(1) = 1$, which is defined to be a Dirichlet series

$$L(s) = \sum_{i=0}^{\infty} \frac{a(n)}{n^s}$$

satisfying the following hypotheses:

- (i) Ramanujan hypothesis: for all positive ϵ , $a(n) \ll n^\epsilon$;

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(ii) Analytic continuation: there exists a non-negative integer m such that $(s-1)^m L(s)$ is an entire function of finite order;

(iii) Functional equation: there are positive real numbers Q, λ_i , and there exists a positive integer K , and there are complex numbers μ_i, ω with $\Re \mu_i \geq 0$ and $|\omega| = 1$ such that $\Lambda_L(s) = \omega \overline{\Lambda_L(1-\bar{s})}$, where $\Lambda_L(s) := L(s) Q^s \prod_{i=1}^K \Gamma(\lambda_i s + \mu_i)$;

(iv) Euler product hypothesis: $L(s)$ satisfies $L(s) = \prod_p L_p(s)$, where $L_p(s) = \exp\left(\sum_{k=1}^{\infty} \frac{b(p^k)}{p^{ks}}\right)$ with coefficients $b(p^k)$ satisfying $b(p^k) \ll p^{k\theta}$ for some $\theta < \frac{1}{2}$, where the product is taken over all prime numbers p .

On the other hand, an L -function can be analytically continued as a meromorphic function in the complex plane \mathbb{C} . Therefore, for the problem of value distribution of L -functions sharing finite sets with meromorphic functions, one of the main tools is the Nevanlinna theory on the value distribution of meromorphic functions.

Let f be a non-constant meromorphic function in \mathbb{C} , $a \in \mathbb{C} \cup \{\infty\}$, and k be a nonnegative integer or infinity. We assume that the reader is familiar with the notations of Nevanlinna theory (see, for example [2], [5]): $T(r, f)$, $N(r, f)$, $m(r, f)$,

We define

$$\Theta(a, f) = 1 - \lim_{r \rightarrow +\infty} \frac{\overline{N}\left(r, \frac{1}{f-a}\right)}{T(r, f)},$$

where r outside possibly a set of finite Lebesgue measure. Clearly

$$0 \leq \Theta(a, f) \leq 1.$$

Denote by $E_f(a)$ the set of all a - points of f where an a - point is counted with its multiplicity, and by $\overline{E}_f(a)$ where an a - point is counted only one time, and by $E_f(a, k)$ the set of all a - points of f where an a - point of multiplicity m is counted m times if $m \leq k$ and $k+1$ times if $m > k$.

For a non-empty subset $S \subset \mathbb{C} \cup \{\infty\}$, define $E_f(S) = \cup_{a \in S} E_f(a)$, and similarly for $\overline{E}_f(S)$, $E_f(S, k)$. Let \mathcal{F} be a non-empty subset of $\mathcal{M}(\mathbb{C})$. Two non-constant meromorphic functions f, g of \mathcal{F} are said to *share S , counting multiplicity*, (share S CM), if $E_f(S) = E_g(S)$, and to *share S , ignoring multiplicity*, (share S IM), if $\overline{E}_f(S) = \overline{E}_g(S)$, and to *share S with weight k* if $E_f(S, k) = E_g(S, k)$.

If the condition $E_f(S) = E_g(S)$ (resp. $\overline{E}_f(S) = \overline{E}_g(S)$) implies $f = g$ for any two non-constant meromorphic (entire) functions f, g of \mathcal{F} , then S is called a unique range set for meromorphic (entire) functions of \mathcal{F} counting multiplicity (resp. ignoring multiplicity), and similarly for unique range set for meromorphic (entire) functions of \mathcal{F} with weight k . Clearly $E_f(S) = E_f(S, \infty)$, and $\overline{E}_f(S) =$

$\overline{E}_f(S, 0)$. Denote by

$$\mathcal{F}_f(\Theta(a, f) \geq t) = \{f \in \mathcal{M}(\mathbb{C}), t \in \mathbb{R} : \Theta(a, f) \geq t \text{ and } 1 \geq t\},$$

$$\mathcal{F}_f(\Theta(a, f) \leq t) = \{f \in \mathcal{M}(\mathbb{C}), t \in \mathbb{R} : \Theta(a, f) \leq t \text{ and } t \geq 0\},$$

and similarly for

$$\mathcal{F}_f(\Theta(a, f) > t), \mathcal{F}_f(\Theta(a, f) < t).$$

In the last few years, the value distribution and uniqueness of L -functions has been studied extensively. In 2017 Q.-Q. Yuan, X.-M. Li, and H.-X. Yi [17] obtained the following result.

Theorem A. [17] *Let f be a non-constant meromorphic function having finitely many poles, and let L be an L -function. Let $P(z) = z^n + az^m + b$, where m, n are positive integers, satisfying $n > 2m + 4$, and $(m, n) = 1$, $a, b \in \mathbb{C}$ are non-zero constants. Denote by S the zero set of P . If f and L share S CM, then $f = L$.*

From Theorem A it follows the existence of a class of subsets S with 7 elements, which are zero sets of Yi's polynomials, such that if $E_f(S) = E_L(S)$, then $f = L$, where f is a non-constant meromorphic function having finitely many poles, L is an L -function.

In 2023 H. H. Khoai, V. H. An, and N. D. Phuong [9] by using a class of polynomials, which are not Yi's polynomials, presented a class of subsets $S \subset \mathbb{C}$ with 9 elements such that if $E_f(S) = E_L(S)$, then $f = L$, where L is an L -function and f is a non-constant meromorphic function. They obtained the following result.

Let $n, m \in \mathbb{N}^*$, $a \in \mathbb{C}$, $a \neq 0$.

Consider polynomials $P_K(z)$ of the following form:

$$P_K(z) = (n + m + 1) \left(\sum_{i=0}^m \binom{m}{i} \frac{(-1)^i}{n + m + 1 - i} z^{n+m+1-i} a^i \right) + 1 = Q_K(z) + 1,$$

where

$$(1.1) \quad Q_K(z) = (n + m + 1) \left(\sum_{i=0}^m \binom{m}{i} \frac{(-1)^i}{n + m + 1 - i} z^{n+m+1-i} a^i \right).$$

Suppose that

$$(1.2) \quad Q_K(a) = (n + m + 1) \left(\sum_{i=0}^m \binom{m}{i} \frac{(-1)^i}{n + m + 1 - i} \right) a^{n+m+1} \neq -1, -2.$$

Then $P'_K(z) = (n + m + 1)z^n(z - a)^m$, and $P'_K(z)$ has a zero at 0 of order n , a zero at a of order m . Note that, from the condition (1.2) it follows that $P_K(z)$ has only simple zeros.

Theorem B. Let f be non-constant meromorphic function, L be a non-constant L -function, $P_K(z)$ be defined as in (1.1) with conditions (1.2), $S_K = \{z \mid P_K(z) = 0\}$. If $n \geq 2, m \geq 2, n + m \geq 8$, then the condition $E_f(S_K) = E_L(S_K)$ implies $f = L$.

A polynomial $P(z)$ is called a *uniqueness polynomial for meromorphic (entire) functions* if for arbitrary two non-constant meromorphic (entire) functions f and g , the condition $P(f) = P(g)$ implies $f = g$.

A polynomial $P(z)$ is called a *strong uniqueness polynomial for meromorphic (entire) functions* if for arbitrary two non-constant meromorphic (entire) functions f and g , and a non-zero constant c , the condition $P(f) = cP(g)$ implies $f = g$.

Note that $P_K(z)$ be a strong uniqueness polynomial for meromorphic functions if $n \geq 2, m \geq 2, n + m \geq 8$.

Consider polynomials $P(z) \in \mathbb{C}[z]$ of degree q of the form

$$P(z) = a(z - a_1)(z - a_2) \cdots (z - a_q),$$

where the derivative of $P(z)$ has k zeros mutually distinct d_1, d_2, \dots, d_k with multiplicities q_1, q_2, \dots, q_k , respectively, and then

$$(1.3) \quad P'(z) = aq(z - d_1)^{q_1}(z - d_2)^{q_2} \cdots (z - d_k)^{q_k}, a \neq 0.$$

The number k is called the *derivative index* of $P(z)$.

Suppose that:

$$(1.4) \quad P(d_i) \neq 0 \text{ for } 1 \leq i \leq k.$$

Note that, from the condition (1.4) it follows that $P(z)$ has only simple zeros.

We recall the following condition introduced by Fujimoto (see [6]):

$$(F) \quad P(d_i) \neq P(d_j) \text{ for } 1 \leq i < j \leq k, k \geq 2.$$

In 2023 A. Banerjee and A. Kundu [1] improved Theorem B. They obtained the following result.

Theorem C. Let f be a non-constant meromorphic function, L be a L -function, $P(z)$ be defined as in (1.3) with conditions (1.4) and (F), and $S = \{z : P(z) = 0\}$. If $\min\{q_1, q_2\} \geq 2$ when $k = 2$ and $q \geq 2k + 4$ and $E_f(S, 2) = E_L(S, 2)$, then we have $f = L$.

Note that, if $E_f(S) = E_L(S)$, then $E_f(S, 2) = E_L(S, 2)$. Then, applying Theorem C with $k = 2$ and $q \geq 8$, we obtain Theorem B.

Regarding Theorem B and Theorem C it is natural to ask the following question which motivates us to write this paper.

Question 1. *What is the smallest cardinality for such a finite set S such that any meromorphic function f and a L -function L satisfying $E_f(S) = E_L(S)$ must be identical?*

In this paper, we apply the arguments used in [9] and [1] to answer to Question 1.

We shall prove the following main theorem.

Theorem 1. *Let f be a non-constant meromorphic function, L be a non-constant L -function. Let $P(z)$ be a strong uniqueness polynomial of the form (1.3) satisfying conditions (1.4) and (F), and $S = \{z : P(z) = 0\}$, and $E_f(S) = E_L(S)$. Assume that $\min\{q_1, q_2\} \geq 2$ when $k = 2$, and one of the following conditions is satisfied:*

1)

$$q \geq 2k + 3, \text{ and } f \in \mathcal{F}_f(\Theta(\infty, f) \geq t), \ 1 \geq t \geq \frac{1}{2};$$

2)

$$q \geq 2k + 4, \text{ and } f \in \mathcal{F}_f(\Theta(\infty, f) \geq t), \ t < \frac{1}{2}.$$

Then $f = L$.

Applications. We discuss some applications of Theorem 1.

Giving specific values for t in Theorem 1, we can get the following interesting cases for $k = 2$ and $\Theta(\infty, f)$:

i) There exist sets S of 7 elements such that any meromorphic function f and a L -function L satisfying $E_f(S) = E_L(S)$ and $\Theta(\infty, f) = 1$ must be identical.

Indeed, take $k = 2$ and $t = 1$ in Theorem 1. Then $\Theta(\infty, f) = 1$ and applying Theorem 1, Part 1, we obtain $q \geq 7$.

ii) There exist sets S of 8 elements such that any meromorphic function f and a L -function L satisfying $E_f(S) = E_L(S)$ must be identical.

Indeed, take $k = 2$ and $t \leq 0$ in Theorem 1. Then $\Theta(\infty, f) \geq 0$ and applying Theorem 1, Part 2, we obtain $q \geq 8$.

Remark. i) From Theorem 1, Part 2 we obtain Theorem C.

ii) Theorem 1 improves and generalizes some previous results of Khoai-An-Phuong [9] and a result in [17].

2. Preliminary results

We assume that the reader is familiar with the notations of Nevanlinna theory (see, for example, [5], [2], [15]). We have other forms of two Fundamental Theorems of the Nevanlinna theory:

Another form of the First Fundamental Theorem (see [15], Theorem 1.2, p.8). Let $f(z)$ be a non-constant meromorphic function in \mathbb{C} and let $a \in \mathbb{C}$. Then

$$T(r, \frac{1}{f-a}) = T(r, f) + O(1),$$

where $O(1)$ is a bounded quantity when $r \rightarrow +\infty$.

Another form of the Second Fundamental Theorem (see [15], Theorem 1.6', p.22). Let f be a non-constant meromorphic function on \mathbb{C} and let a_1, a_2, \dots, a_q be distinct points of \mathbb{C} . Then

$$(q-1)T(r, f) \leq \bar{N}(r, f) + \sum_{i=1}^q \bar{N}(r, \frac{1}{f-a_i}) - N_0(r, \frac{1}{f'}) + S(r, f),$$

where $N_0(r, \frac{1}{f'})$ is the counting function of those zeros of f' , which are not zeros of the function $(f-a_1)\dots(f-a_q)$, and $S(r, f) = o(T(r, f))$ for all r , except for a set of finite Lebesgue measure.

Lemma 2.1. 1/ [2] For any non-constant meromorphic function f , we have

$$i) T(r, f^{(k)}) \leq (k+1)T(r, f) + S(r, f);$$

$$ii) S(r, f^{(k)}) = S(r, f).$$

2/ [18] For any nonconstant meromorphic function f ,

$$N(r, \frac{1}{f'}) \leq N(r, \frac{1}{f}) + \bar{N}(r, f) + S(r, f).$$

Definition. Let f be a non-constant meromorphic function, and k be a positive integer. We denote by $\bar{N}_{(k)}(r, f)$ the counting function of the poles of order $\geq k$ of f , where each pole is counted only once. If z is a zero of f , denote by $\nu_f(z)$ its multiplicity. We denote by $\bar{N}(r, \frac{1}{f'}; f \neq 0)$ the counting function of the zeros z of f' satisfying $f(z) \neq 0$, where each zero is counted only once. We denote by $\bar{N}_{(k)}(r, \frac{1}{f})$ the counting function of the zeros z of f satisfying $\nu_f(z) \leq k$, where each zero is counted only once. Let be given two non-constant meromorphic functions f and g . For simplicity, denote by $\nu_1(z) = \nu_f(z)$ (resp.

$\nu_2(z) = \nu_g(z)$, if z is a zero of f (resp. g). Let $f^{-1}(0) = g^{-1}(0)$. We denote by $N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1)$ the counting function of the common zeros z , satisfying $\nu_1(z) = \nu_2(z) = 1$. Similarly, we define the counting functions: $N(r, \frac{1}{f}; \nu_1 \geq 2)$, $\overline{N}(r, \frac{1}{g}; \nu_2 > \nu_1 \geq 1)$ and $N(r, \frac{1}{g}; \nu_2 \geq 2)$.

Lemma 2.2. *Let f, g be two non-constant meromorphic functions such that $E_f(0) = E_g(0)$. Set*

$$F = \frac{1}{f}, G = \frac{1}{g}, H = \frac{F''}{F'} - \frac{G''}{G'}.$$

Suppose that $H \not\equiv 0$. Then

$$N(r, H) \leq \overline{N}_{(2)}(r, f) + \overline{N}_{(2)}(r, g) + \overline{N}(r, \frac{1}{f}; f \neq 0) + \overline{N}(r, \frac{1}{g}; g \neq 0).$$

Moreover, if a is a common simple zero of f and g , then $H(a) = 0$.

H. Fujimoto ([3], Proposition 7.1) proved the following:

Lemma 2.3. *Let $P(z)$ be a strong uniqueness polynomial of the form (1.3) satisfying conditions (1.4) and (F). Suppose that $q \geq 5$ and there are two non-constant meromorphic function f and g such that*

$$\frac{1}{P(f)} = \frac{c_0}{P(g)} + c_1$$

for two constants $c_0 \neq 0$ and c_1 . If $k \geq 3$ or if $k = 2, \min\{q_1, q_2\} \geq 2$, then $c_1 = 0$.

Lemma 2.4. [4] *Let $P(z)$ be a polynomial of the form (1.3) satisfying conditions (1.4) and (F). Then $P(z)$ is a uniqueness polynomial if and only if*

$$\sum_{1 \leq i < j \leq k} q_i q_j > \sum_{i=1}^k q_i.$$

In particular, the above inequality is always satisfied whenever $k \geq 4$. When $k = 3$ and $\max\{q_1, q_2, q_3\} \geq 2$, or when $k = 2, \min\{q_1, q_2\} \geq 2$, and $q_1 + q_2 \geq 5$.

Lemma 2.5. [2]. *Let f be an entire function of finite order ρ . If f has no zeros, then $f(z) = e^{h(z)}$, where $h(z)$ is a polynomial of degree less than ρ .*

Lemma 2.6. [14]. *Let L be a non-constant L -function. Then*

i) $T(r, L) = \frac{d_L}{\pi} r \log r + O(r)$, where $d_L = 2 \sum_{i=1}^K \lambda_i$ is the degree of L -function, and K, λ_i are respectively the positive integer and positive real number in the functional equation of the definition of L -functions;

ii) $N(r, L) = S(r, L)$,

iii) $\rho(L) = 1$.

Lemma 2.7. [13]. *Suppose L is a non-constant L -function, there is no generalized Picard exceptional value of L in the complex plane.*

Lemma 2.8. [9] *Let f be a non-constant meromorphic function. Then*

$$\overline{N}(r, \frac{1}{f}) - \frac{1}{2}\overline{N}_1(r, \frac{1}{f}) \leq \frac{1}{2}N(r, \frac{1}{f}).$$

3. Proof of Theorem 1

Lemma 3.1. *We have*

$$1) \quad (q-1)T(r, L) + S(r, L) \leq qT(r, f) + S(r, f),$$

$$2) \quad (q-2)T(r, f) + S(r, f) \leq qT(r, L) + S(r, L), \quad S(r, f) = S(r, L).$$

Proof. Applying another form of the two Fundamental Theorems and noting that $\overline{N}(r, L) = S(r, L)$, $E_L(S) = E_f(S)$, we obtain

$$\begin{aligned} (q-1)T(r, L) &\leq \overline{N}(r, L) + \sum_{i=1}^q \overline{N}(r, \frac{1}{L-a_i}) + S(r, L), \\ (q-1)T(r, L) + S(r, L) &\leq \sum_{i=1}^q \overline{N}(r, \frac{1}{f-a_i}) + S(r, f) \\ &\leq qT(r, f) + S(r, f). \end{aligned}$$

Similarly,

$$\begin{aligned} (q-1)T(r, f) &\leq \overline{N}(r, f) + \sum_{i=1}^q \overline{N}(r, \frac{1}{f-a_i}) + S(r, f), \\ (q-1)T(r, f) + S(r, f) &\leq T(r, f) + \sum_{i=1}^q \overline{N}(r, \frac{1}{L-a_i}) + S(r, L), \\ (q-2)T(r, f) + S(r, f) &\leq qT(r, L) + S(r, L). \end{aligned}$$

Combining the above inequalities, we get

$$\frac{q-1}{q}T(r, L) + S(r, L) \leq T(r, f) + S(r, f) \leq \frac{q}{q-2}T(r, L) + S(r, L),$$

$$\frac{q-2}{q}T(r, f) + S(r, f) \leq T(r, L) + S(r, L) \leq \frac{q}{q-1}T(r, f) + S(r, f).$$

Therefore $S(r, f) = S(r, L)$.

Lemma 3.1 is proved. ■

Set

$$F = \frac{1}{P(f)}, \quad L = \frac{1}{P(L)}, \quad H = \frac{F''}{F'} - \frac{G''}{G'}.$$

From Lemma 3.1 we obtain $S(r, f) = S(r, L)$. Put $S(r) = S(r, f) = S(r, L)$. Then $T(r, P(f)) = qT(r, f) + O(1)$ and $T(r, P(L)) = qT(r, L) + O(1)$, and hence $S(r, P(f)) = S(r)$ and $S(r, P(L)) = S(r)$. We prove following.

Lemma 3.2. $H \equiv 0$ if one of the following conditions is satisfied:

- 1) $q \geq 2k + 4 - 2\Theta(\infty, f)$ and $\Theta(\infty, f) < 1$.
- 2) $q \geq 2k + 3$ and $\Theta(\infty, f) = 1$.

Proof. Suppose $H \not\equiv 0$.

Claim 1. We have

i) $(q-1)T(r, L) \leq \bar{N}(r, \frac{1}{P(L)}) - N_o(r, \frac{1}{L'}) + S(r)$, where $N_o(r, \frac{1}{L'})$ is the counting function of those zeros of L' , which are not zeros of the function $(L - a_1)(L - a_2) \cdots (L - a_q)$.

ii) $(q-1)T(r, f) \leq \bar{N}(r, f) + \bar{N}(r, \frac{1}{P(f)}) - N_o(r, \frac{1}{f'}) + S(r)$, where $N_o(r, \frac{1}{f'})$ is the counting function of those zeros of f' , which are not zeros of the function $(f - a_1) \cdots (f - a_q)$.

Proof. i) Applying another form of the two Fundamental Theorems to L and the values a_1, a_2, \dots, a_q , and noticing that

$$N(r, L) = S(r, L), \quad \sum_{i=1}^q \bar{N}(r, \frac{1}{L - a_i}) = \bar{N}(r, \frac{1}{P(L)}),$$

we obtain

$$(q-1)T(r, L) \leq \bar{N}(r, L) + \sum_{i=1}^q \bar{N}(r, \frac{1}{L - a_i}) - N_o(r, \frac{1}{L'}) + S(r, L),$$

$$(q-1)T(r, L) \leq \bar{N}(r, \frac{1}{P(L)}) - N_o(r, \frac{1}{L'}) + S(r).$$

ii) The inequality for f is proved by a similar argument.

Claim 2. *We have*

$$N(r, H) \leq kT(r, L) + kT(r, f) + \bar{N}(r, f) + N_o(r, \frac{1}{f'}) + N_o(r, \frac{1}{L'}) + S(r).$$

Proof.

Noting that H has only simple poles, from Lemma 2.2 we obtain

$$\begin{aligned} N(r, H) &\leq \bar{N}_{(2)}(r, P(f)) + \bar{N}_{(2)}(r, P(L)) + \\ &\quad \bar{N}(r, \frac{1}{P'(f)}; P(f) \neq 0) + \bar{N}(r, \frac{1}{P'(L)}; P(L) \neq 0) + S(r). \end{aligned}$$

On the other hand,

$$\bar{N}_{(2)}(r, P(L)) = \bar{N}(r, L) = S(r), \bar{N}_{(2)}(r, P(f)) = \bar{N}(r, f).$$

Moreover, we have

$$\begin{aligned} \bar{N}(r, \frac{1}{[P(L)]'}; P(L) \neq 0) &\leq \\ &\sum_{i=1}^k \bar{N}(r, \frac{1}{L-d_i}; (L-a_1) \cdots (L-a_q) \neq 0) + N_o(r, \frac{1}{L'}) \\ &\leq \sum_{i=1}^k \bar{N}(r, \frac{1}{L-d_i}) + N_o(r, \frac{1}{L'}) \leq kT(r, L) + N_o(r, \frac{1}{L'}) + S(r). \end{aligned}$$

Thus

$$\bar{N}(r, \frac{1}{[P(L)]'}; P(L) \neq 0) \leq kT(r, L) + N_o(r, \frac{1}{L'}) + S(r).$$

Similarly,

$$\bar{N}(r, \frac{1}{[P(f)]'}; P(f) \neq 0) \leq kT(r, f) + N_o(r, \frac{1}{f'}) + S(r).$$

Claim 3. *We have*

i)

$$\begin{aligned} \bar{N}(r, \frac{1}{P(L)}) + \bar{N}(r, \frac{1}{P(f)}) &\leq \\ (\frac{q}{2} + k)T(r, L) + (\frac{q}{2} + k)T(r, f) + \bar{N}(r, f) + N_o(r, \frac{1}{L'}) + N_o(r, \frac{1}{f'}) + S(r). \end{aligned}$$

ii)

$$(q - 2k - 2)T(r, L) + (q - 2k - 2)T(r, f) \leq 4\bar{N}(r, f) + S(r).$$

Proof. *i)* Note that from Lemma 2.2, if a is a common simple zero of $P(f)$ and $P(L)$, then $H(a) = 0$. Therefore,

$$\begin{aligned} N_{1_1}\left(r, \frac{1}{P(L)}\right) = N_{1_1}\left(r, \frac{1}{P(f)}\right) &\leq N\left(r, \frac{1}{H}\right) \leq T(r, H) + S(r, H) \\ &\leq N(r, H) + S(r), \end{aligned}$$

because by Lemma on logarithmic derivatives, $m(r, H) = o(T(r, H))$, and by Lemma 2.1, $S(r, H) \leq S(r)$. Then, applying Lemma 2.8 and Claim 2, we obtain

$$\begin{aligned} \bar{N}\left(r, \frac{1}{P(L)}\right) + \bar{N}\left(r, \frac{1}{P(f)}\right) &= \bar{N}\left(r, \frac{1}{P(L)}\right) + \bar{N}\left(r, \frac{1}{P(f)}\right) - N_{1_1}\left(r, \frac{1}{P(L)}\right) + \\ &N_{1_1}\left(r, \frac{1}{P(L)}\right) = \bar{N}\left(r, \frac{1}{P(L)}\right) - \frac{1}{2}N_{1_1}\left(r, \frac{1}{P(L)}\right) + \bar{N}\left(r, \frac{1}{P(f)}\right) \\ &- \frac{1}{2}N_{1_1}\left(r, \frac{1}{P(f)}\right) + N_{1_1}\left(r, \frac{1}{P(L)}\right) \leq \frac{1}{2}\left(N\left(r, \frac{1}{P(L)}\right) + N\left(r, \frac{1}{P(f)}\right)\right) + N(r, H) \\ &\leq \left(\frac{q}{2} + k\right)T(r, L) + \left(\frac{q}{2} + k\right)T(r, f) + \bar{N}(r, f) + N_o\left(r, \frac{1}{L}\right) + N_o\left(r, \frac{1}{f}\right) + S(r). \end{aligned}$$

ii) By Claim 1 and Part *i)* of Claim 3 we obtain

$$\begin{aligned} (2q-2)T(r, L) + (2q-2)T(r, f) &\leq (q+2k)T(r, L) + (q+2k)T(r, f) + 4\bar{N}(r, f) + S(r), \\ (q-2k-2)T(r, L) + (q-2k-2)T(r, f) &\leq 4\bar{N}(r, f) + S(r). \end{aligned}$$

Claim 3 is proved.

Now we use Lemma 3.1 and Part *ii)* of Claim 3 to obtain a contradiction, and complete the proof of $H \equiv 0$.

1) $q \geq 2k + 4 - 2\Theta(\infty, f)$ and $\Theta(\infty, f) < 1$.

Consider $\alpha(x)$ on $x \geq 2k + 4 - 2\Theta(\infty, f)$, $x \in \mathbb{R}$, where

$$\alpha(x) = 2x - 4k - 9 + 4\Theta(\infty, f) + \frac{2k + 6 - 4\Theta(\infty, f)}{x}.$$

We have $\alpha'(x) = 2 - \frac{2k + 6 - 4\Theta(\infty, f)}{x^2} > 0$ on $x \geq 2k + 4 - 2\Theta(\infty, f)$, $x \in \mathbb{R}$.

Combining this and $q \geq 2k + 4 - 2\Theta(\infty, f)$ and $\Theta(\infty, f) < 1$ we obtain

$$\begin{aligned} \alpha(q) &= 2q - 4k - 9 + 4\Theta(\infty, f) + \frac{2k + 6 - 4\Theta(\infty, f)}{q}, \\ \alpha(2k + 4 - 2\Theta(\infty, f)) &= \frac{2k + 6 - 4\Theta(\infty, f)}{2k + 4 - 2\Theta(\infty, f)} - 1 > 0, \end{aligned}$$

$$(3.1) \quad \alpha(q) \geq \alpha(2k+4-2\Theta(\infty, f)) > 0.$$

From (3.1) it is given that

$$(3.2) \quad \alpha(q) \geq \alpha(2k+4-2\Theta(\infty, f)) > \epsilon > 0,$$

where ϵ is a small positive number.

Using Part ii) of Claim 3 and Part 1) of Lemma 3.1 we get

$$(q-2k-2)T(r, L) + (q-2k-2)T(r, f) \leq 4\bar{N}(r, f) + S(r),$$

$$(q-2k-2)T(r, L) + (q-2k-2)T(r, f) \leq 4(1-\Theta(\infty, f) + \frac{\epsilon}{4})T(r, f) + S(r),$$

$$(2q-4k-9+4\Theta(\infty, f) + \frac{2k+6-4\Theta(\infty, f)}{q} - \frac{q-1}{q}\epsilon)T(r, L) \leq S(r),$$

which is a contradiction since (3.2).

2) $q \geq 2k+3$ and $\Theta(\infty, f) = 1$.

Consider $\beta(x)$ on $x \geq 2k+3$, $x \in \mathbb{R}$, where

$$\beta(x) = 2x - 4k - 5 + \frac{2k+2}{x}.$$

We have $\beta'(x) = 2 - \frac{2k+2}{x^2} > 0$ on $x \geq 2k+3$, $x \in \mathbb{R}$.

Combining this and $q \geq 2k+3$ we obtain

$$\beta(q) = 2q - 4k - 5 + \frac{2k+2}{q}, \quad \beta(2k+3) = 1 + \frac{2k+2}{2k+3} > 1,$$

$$(3.3) \quad \beta(q) \geq \beta(2k+3) > 1.$$

By Part ii) of Claim 3 and $\Theta(\infty, f) = 1$ and Lemma 3.1 we get

$$\bar{N}(r, f) = S(r), \text{ and therefore } (q-2k-2)T(r, L) + (q-2k-2)T(r, f) \leq S(r),$$

$$(3.4) \quad (2q-4k-5 + \frac{2k+2}{q})T(r, L) \leq S(r).$$

which is a contradiction since (3.3).

So $H \equiv 0$. Lemma 3.2 is proved. ■

Now we return the proof of Theorem 1. We first prove $H \equiv 0$.

1)

$$q \geq 2k + 3, \text{ and } f \in \mathcal{F}_f(\Theta(\infty, f) \geq t), 1 \geq t \geq \frac{1}{2}.$$

If $t = 1$, then $\Theta(\infty, f) = 1$, and then $H \equiv 0$ from Part 2) of Lemma 3.2

If $1 > t \geq \frac{1}{2}$ and $1 > \Theta(\infty, f) \geq \frac{1}{2}$, then

$$q \geq 2k + 3 \geq 2k + 4 - 2\Theta(\infty, f) > 2k + 2.$$

Therefore, $H \equiv 0$ from Part 1) of Lemma 3.2.

2)

$$q \geq 2k + 4, \text{ and } f \in \mathcal{F}_f(\Theta(\infty, f) \geq t), t < \frac{1}{2}.$$

If $\Theta(\infty, f) < \frac{1}{2}$, then $0 \geq -2\Theta(\infty, f) > -1$. Therefore

$$q \geq 2k + 4 \geq 2k + 4 - 2\Theta(\infty, f) > 2k + 3,$$

which follows that $H \equiv 0$ from Part 1) of Lemma 3.2.

If $\Theta(\infty, f) \geq \frac{1}{2}$, then $H \equiv 0$ from $q \geq 2k + 4 > 2k + 3$ and 1).

Thus, $H \equiv 0$. Therefore $\frac{1}{P(L)} = \frac{c}{P(f)} + c_1$ for some constants $c \neq 0$ and c_1 . By Lemma 2.3 we obtain $c_1 = 0$. Therefore, there is a constant $c \neq 0$ such that $P(f) = cP(L)$. By $P(z)$ is a strong uniqueness polynomial we obtain $f = L$.

Theorem 1 is proved. ■

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