

The linear dependency of L -functions and meromorphic functions sharing finite sets

Vu Hoai An (Hai Duong, Vietnam)

Nguyen Duy Phuong (Thai Nguyen, Vietnam)

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Abstract. In this paper we investigate the linear dependency of L -functions and meromorphic functions sharing finite sets. As a consequence, we present some classes of subsets S, T in $\mathbb{C}$ such that for a meromorphic function f and an L -function L , the condition that f and L share S and T , respectively (counting multiplicity) implies $f = hL$ for a non-zero constant h . We discuss some applications of main result. The main result obtained in this paper improves and extends a recent result due to the authors in [32]. We extend previous results of Yuan, Li and Yi [32] by considering distinct finite sets S, T and establishing linear dependency between f and L . Our results are inspired by a work of Yuan, Li, and Yi in [32] and Khoai et al. in [11] and [13].

1. Introduction. Main results

Let f be a non-constant meromorphic function in $\mathbb{C}$, $a \in \mathbb{C} \cup \{\infty\}$. Denote by $E_f(a)$ the set of all a -points of f where an a -point is counted with its multiplicity, and by $\overline{E}_f(a)$ where an a -point is counted only one time. For a non-empty subset $S \subset \mathbb{C} \cup \{\infty\}$, define $E_f(S) = \cup_{a \in S} E_f(a)$, and similarly for $\overline{E}_f(S)$. Let $\mathcal{F}$ be a non-empty subset of $\mathcal{M}(\mathbb{C})$. Two non-constant meromorphic functions f, g of $\mathcal{F}$ are said to *share S , counting multiplicity*, (share S CM), if

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$E_f(S) = E_g(S)$, and to *share* S , *ignoring multiplicity*, (share S IM), if $\overline{E}_f(S) = \overline{E}_g(S)$. If the condition $E_f(S) = E_g(S)$ (resp. $\overline{E}_f(S) = \overline{E}_g(S)$) implies $f = g$ for any two non-constant meromorphic (entire) functions f, g of $\mathcal{F}$, then S is called a unique range set for meromorphic (entire) functions of $\mathcal{F}$ counting multiplicity (resp. ignoring multiplicity).

The uniqueness problem for entire or meromorphic functions sharing sets was initiated by a famous question of Gross in [6]. Since, many results have been obtained for this and related topics (see [7, 9, 10, 17, 20, 24, 25, 26, 29]).

In the last few years, the value distribution and uniqueness of L -functions has been studied extensively (see [1, 2, 3, 16, 11, 12, 13, 14, 18, 19, 22, 23, 30, 32]).

Let us recall some basic notations and known results on the value distribution of L -functions (see [3, 22, 20, 11, 32, 13]).

In this paper an L -function always means a non-constant L -function in the Selberg class $\mathcal{S}$, which is defined to be a Dirichlet series

$$L(s) = \sum_{n=0}^{\infty} \frac{a(n)}{n^s},$$

with the normalized condition $a(1) = 1$, satisfying the following axioms:

- (i) Ramanujan hypothesis: for all positive ϵ , $a(n) \ll n^\epsilon$;
- (ii) Analytic continuation: there exists a non-negative integer m such that $(s-1)^m L(s)$ is an entire function of finite order;
- (iii) Functional equation: there are positive real numbers Q, λ_i , and there exists a positive integer K , and there are complex numbers μ_i, ω with $\operatorname{Re} \mu_i \geq 0$ and $|\omega| = 1$ such that $\Lambda_L(s) = \omega \Lambda_L(1 - \bar{s})$, where $\Lambda_L(s) := L(s) Q^s \prod_{i=1}^K \Gamma(\lambda_i s + \mu_i)$;
- (iv) Euler product hypothesis: $L(s)$ satisfies $L(s) = \prod_p L_p(s)$, where $L_p(s) = \exp\left(\sum_{k=1}^{\infty} \frac{b(p^k)}{p^{ks}}\right)$ with coefficients $b(p^k)$ satisfying $b(p^k) \ll p^{k\theta}$ for some $\theta < \frac{1}{2}$, where the product is taken over all prime numbers p .

Note that the Riemann Zeta function is an L -function in the Selberg class.

In 2017 Yuan, Li, and Yi ([32]) posed the following question:

Question A. *What can be said about the relationship between a meromorphic function f and an L -function L if $E_f(S) = E_L(S)$.*

In this direction, they obtained the following result:

Theorem A. ([32]) *Let f be a non-constant meromorphic function having finitely many poles, and let L be an L -function. Let $P(z) = z^n + az^m + b$, where m, n are positive integers, satisfying $n > 2m + 4$, and $(m, n) = 1$, $a, b \in \mathbb{C}$ are nonzero constants. Denote by S the zero set of P . If f and L share S CM, then $f = L$.*

From Theorem A it follows the existence of a class of subsets S with 7 elements,

which are zero sets of Yi's polynomials, such that if $E_f(S) = E_L(S)$, then $f = L$, where f is a non-constant meromorphic function having finitely many poles, L is an L -function.

In 2023, Khoai et al. [13] improved Theorem A. By using a class of polynomials, which are not Yi's polynomials, they showed the existence of a new class of subset S of 5 elements, such that if $E_f(S) = E_L(S)$, then $f = L$, where f is a meromorphic functions having finitely many poles.

Concerning Question A, Pakovitch posed the following question:

Question B. *Under what conditions on compact subsets S, T and polynomials f, g , the following relation holds:*

$$(1.1) \quad f^{-1}(S) = g^{-1}(T).$$

In the case $S = T = \{1, -1\}$ Question B posed by Yang ([26]), and in [24, 25] it is proved that for any compact set $K \in \mathbb{C}$ containing at least two points and polynomials f, g of the same degree, the equality $f^{-1}(K) = g^{-1}(K)$ implies that $f = h(g)$ for some $h = az + b, a, b \in \mathbb{C}$, such that $h(K) = K$. Dinh ([4]) obtained some results for polynomials of arbitrary degree.

In response to Question B, the authors ([10]) showed the following theorem for meromorphic functions having finitely many poles and L -functions.

Let S, T be zero sets of polynomials, having no multiple zeros, of the following form

$$(1.2) \quad P(z) = az^n + bz^{n-m} + c.$$

Note that these polynomials, introduced by Yi ([29]), play an important role in the uniqueness theory for meromorphic functions. A polynomial of the form (1.2) is called a $Y_{(n,m)}$ -polynomial.

In response to Question B, the authors ([11]) showed following theorem.

Theorem B. ([11, Theorem 1.1]) *Let n, m be positive integers, $n \geq 2m + 8$, and let P, Q be $Y_{(n,m)}$ -polynomials, S, T be the zero sets of P, Q , respectively. Suppose $L^{-1}(S) = f^{-1}(T)$ for a non-constant meromorphic function f with finitely many poles in the complex plane, and a non-constant L -function L , then we have:*

1. *There exists a non-zero constant h such that $f = hL$.*
2. *If $(n, m) = 1$, then $f = L$.*

Regarding Theorem A and Theorem B it is natural to ask the following question which motivates us to write this paper.

Question 1. *What can be said about the relationship between a meromorphic function f and an L -function L if $E_L(S) = E_f(T)$, where S, T are the zero sets of P, Q in Theorem B, respectively.*

In this paper, we apply the arguments used in [2], [11] and [13] to answer to Question 1.

Now let us describe main results of the paper. Consider polynomials $P(z), Q(z) \in \mathbb{C}[z]$ of degree n of the form:

$$(1.3) \quad P(z) = az^n + bz^{n-m} + c, \text{ where } a, b, c \neq 0;$$

$$(1.4) \quad Q(z) = uz^n + vz^{n-m} + t, \text{ where } u, v, t \neq 0.$$

Assume that:

$$(1.5) \quad \frac{a^{n-m}c^m}{b^n} \neq \frac{(-1)^n(n-m)^{n-m}m^m}{n^n},$$

$$(1.6) \quad \frac{u^{n-m}t^m}{v^n} \neq \frac{(-1)^n(n-m)^{n-m}m^m}{n^n}.$$

Note that polynomial $P(z)$ (*resp.* $Q(z)$) has n distinct simple zeros if and only if the condition (1.5) (*resp.* (1.6)) is satisfied (see ([17], Lemma 2.7)).

We shall prove the following main theorems.

Theorem 1. *Let m, n be positive integers, $n \geq 2m + 3$, let $P(z), Q(z)$ be polynomials of the form (1.3) and (1.4) with conditions (1.5) and (1.6), and let S, T be the zero sets of P, Q , respectively. Let f be a non-constant meromorphic function having finitely many poles in the complex plane and L be a non-constant L -function. Then we have:*

1. $E_L(S) = E_f(T)$ if and only if $f = hL$ and $hS = T$, where h is a non-zero constant satisfying $h^n = \frac{at}{cu}$ and $h^m = \frac{av}{ub}$.

2. In particular, $E_{L_1}(S) = E_{L_2}(T)$ if and only if $L_1 = L_2$ and $\frac{a}{u} = \frac{b}{v} = \frac{c}{t}$ and $S = T$, where L_1, L_2 are non-constant L -functions.

Noting that proof of Theorem 1 is different from Khoai et al.'s ([11]).

Applications. We discuss some applications of Theorem 1. Noting that the identity relationship between an L -function and a meromorphic function is a specific instance of a linear dependency between the same functions.

1/ **Theorem 2.** *Let m, n be positive integers such that $(n, m) = 1$ and $n \geq 2m + 3$, let $P(z)$ be polynomial of the form (1.3) with condition (1.5), and let S be the zero set of P . Suppose that $E_L(S) = E_f(S)$ for an non-constant L -function L and a non-constant meromorphic function having finitely many poles f . Then we have: $f = L$.*

Indeed, applying Theorem 1 with $P(z) = Q(z)$, $S = T$, we get: $f = hL$ and $hS = S$, where h is a non-zero constant satisfying $h^n = 1$ and $h^m = 1$. By $(n, m) = 1$ we obtain $h = 1$. So $f = L$.

2/ **Examples.** Let L be a non-constant L -function and let f be a non-constant meromorphic function having finitely many poles, S, T are the zero sets of the polynomials $P(z)$ and $Q(z)$, respectively.

Example 2.1. Let

$$P(z) = z^5 - \frac{5}{4}z^4 + 1, \quad S = \{a_1, \dots, a_5\}, \quad Q(z) = z^5 - \frac{5}{2}z^4 + 2^5, \quad T = \{b_1, \dots, b_5\}.$$

Then

$$E_L(S) = E_f(T) \text{ if and only if } f = 2L, 2S = T.$$

Now we show the necessary condition. We investigate conditions (1.5), (1.6). We have

$$a = u = 1, \quad b = -\frac{5}{4}, \quad c = 1, \quad v = -\frac{5}{2}, \quad t = 2^5, \quad -\frac{4^5}{5^5} \neq -\frac{4^4}{5^5}, \quad 5 = 2 \cdot 1 + 3.$$

Then, applying Theorem 1 with $n = 5$, $m = 1$, $a = u = 1$, $b = -\frac{5}{4}$, $c = 1$, $v = -\frac{5}{2}$, $t = 2^5$, we obtain:

$$f = 2L, \quad 2S = T, \quad \text{where } 2^5 = \frac{at}{cu} = 2^5, \quad \frac{av}{ub} = 2.$$

Now we show the sufficient condition. Assume that

$$f = 2L, \quad \text{and } 2S = T, \quad \text{where } 2^5 = \frac{at}{cu} = 2^5, \quad \frac{av}{ub} = 2.$$

By

$$Q(f) = f^5 - \frac{5}{2}f^4 + 2^5 = 2^5(L^5 - \frac{5}{4}L^4 + 1) = 2^5P(L),$$

we get

$$2^5(L - a_1) \cdots (L - a_5) = (f - b_1) \cdots (f - b_5).$$

Example 2.2. Let $P(z) = z^5 - \frac{5}{4}z^4 + 1$. Then

$$E_L(S) = E_f(S) \text{ if and only if } f = L.$$

Now we show the necessary condition. We have $P(z)$ has 5 distinct simple zeros. Then, applying Theorem 2 with $n = 5$, $m = 1$, and noticing that $(5, 1) = 1$, we obtain: $f = L$.

Now we show the sufficient condition. Assume that $f = L$. By

$$P(f) = f^5 - \frac{5}{4}f^4 + 1 = L^5 - \frac{5}{4}L^4 + 1 = P(L), \text{ we get}$$

$$(L - a_1) \cdots (L - a_5) = (f - b_1) \cdots (f - b_5).$$

From this it follows that $E_L(S) = E_f(S)$.

2. Preliminaries

We assume that the reader is familiar with the notations of Nevanlinna theory (see, for example, [8], [5], [29]).

Let $f(z)$ be a meromorphic function. The number of poles of $f(z)$ in the disc $\{|z| \leq r\}$ will be denoted by $n(r, f)$, and we assume that a pole of order m contributes m to the value of $n(r, f)$. Then the *counting function* is defined as

$$N(r, f) = \int_0^r \frac{n(t, f) - n(0, f)}{t} dt + n(0, f) \log r,$$

and $\bar{N}(r, f)$ is defined in the same way with $n(t, f)$ being replaced by the number of poles of f (ignoring multiplicity) in $\{|z| < t\}$. The *approximating function* is defined as

$$m(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta, \quad \log^+ |x| = \max(0, \log |x|).$$

The *characteristic function* is defined as

$$T(r, f) = N(r, f) + m(r, f).$$

We have other forms of two Fundamental Theorems of the Nevanlinna theory:

Another form of the First Fundamental Theorem (see [29], Theorem 1.2, p. 8). *Let $f(z)$ be a non-constant meromorphic function in $\mathbb{C}$ and let $a \in \mathbb{C}$. Then*

$$T(r, \frac{1}{f-a}) = T(r, f) + O(1),$$

where $O(1)$ is a bounded quantity when $r \rightarrow +\infty$.

Another form of the Second Fundamental Theorem (see [29], Theorem 1.6', p. 22). *Let f be a non-constant meromorphic function on $\mathbb{C}$ and let $a_1, a_2, \dots, a_q$ be distinct points of $\mathbb{C}$. Then*

$$(q-1)T(r, f) \leq \bar{N}(r, f) + \sum_{i=1}^q \bar{N}(r, \frac{1}{f-a_i}) - N_0(r, \frac{1}{f'}) + S(r, f),$$

where $N_0(r, \frac{1}{f})$ is the counting function of those zeros of f' , which are not zeros of the function $(f - a_1) \dots (f - a_q)$, and $S(r, f) = o(T(r, f))$ for all r except for a set of finite Lebesgue measure.

A meromorphic function a is said to be a *small function* with respect to a meromorphic function f if $T(r, a) = o(T(r, f))$ when $r \rightarrow +\infty$.

Lemma 2.1. ([28] and [5]) *Let $f(z)$ be a non-constant meromorphic function and $P(z)$ be a non-constant polynomial and let $a_0, a_1, a_2, \dots, a_n$ be distinct meromorphic functions on $\mathbb{C}$ and let*

$$P(f) = a_n f^n + a_{n-1} f^{n-1} + a_{n-2} f^{n-2} + \dots + a_1 f + a_0, \text{ where } a_n \neq 0.$$

Assume that a_i are small functions with respect to f for all $i = 0, 1, \dots, n$. Then

$$T(r, P(f)) = nT(r, f) + S(r, f).$$

For the convenience of the reader, we recall Second Fundamental Theorem of the Nevanlinna theory for moving targets (see, for example, [31], [21]).

Lemma 2.2. (Second Fundamental Theorem for moving targets) *Let f be a non-constant meromorphic function and let $a_1, a_2, \dots, a_q$ be distinct meromorphic functions on $\mathbb{C}$. Assume that a_i are small functions with respect to f for all $i = 1, \dots, q$. Then, the inequality*

$$(q-2)T(r, f) \leq \sum_{i=1}^q \overline{N}\left(r, \frac{1}{f - a_i}\right) + S(r, f)$$

holds for all r except for a set of finite Lebesgue measure.

Lemma 2.3. ([5]) *Let f be an entire function of finite order ρ . If f has no zeros, then $f(z) = e^{h(z)}$, where $h(z)$ is a polynomial of degree less than ρ .*

We shall use the following lemmas on L -functions. We denote the order of a meromorphic function f by $\rho(f)$.

Lemma 2.4. ([22]) *Let L be a non-constant L -function. Then*

i) $T(r, L) = \frac{d_L}{\pi} r \log r + O(r)$, where $d_L = 2 \sum_{i=1}^K \lambda_i$ is the degree of L , and K, λ_i are respectively the positive integer and positive real number in the functional equation of the definition of L -functions.

ii) $N(r, \frac{1}{L}) = \frac{d_L}{\pi} r \log r + O(r)$, $N(r, L) = S(r, L)$.

iii) $\rho(L) = 1$.

Lemma 2.5. ([19]) *Suppose L is a non-constant L -function, there is no generalized Picard exceptional value of L in the complex plane.*

Lemma 2.6. ([14]) *Let $L_1, \dots, L_N$ be distinct non-constant L -functions. Then $L_1, \dots, L_N$ are linearly independent over $\mathbb{C}$.*

3. Proof of Theorem 1

Recall that

$$P(z) = az^n + bz^{n-m} + c, \quad Q(z) = uz^n + vz^{n-m} + t,$$

$$a, b, d, u, v, t \neq 0.$$

Then, we get:

$$(3.1) \quad P(z) = a(z - a_1) \dots (z - a_n), \quad Q(z) = u(z - b_1) \dots (z - b_n),$$

$$(3.2) \quad P(L) = a(L - a_1) \dots (L - a_n), \quad Q(f) = u(f - b_1) \dots (f - b_n).$$

1/ **The necessary condition.**

Proof. Let $n \geq 2m + 3$ and $E_L(S) = E_f(T)$.

Lemma 3.1. *We have*

$$(n-1)T(r, L) + S(r, L) \leq nT(r, f) + S(r, f),$$

$$(n-1)T(r, f) + S(r, f) \leq nT(r, L) + S(r, L), \text{ in particular, } S(r, f) = S(r, L).$$

Proof. Noting that L has only one possible pole at $s = 1$ and f has finitely many poles and by Lemma 2.4, we have

$$N(r, L) = O(\log r), \quad N(r, f) = O(\log r), \quad N(r, L) = o(T(r, L)),$$

$$= S(r, L), N(r, f) = o(T(r, L)) = S(r, L).$$

Applying another form of the two Fundamental Theorems and noting that $\overline{N}(r, L) = S(r, L) = \overline{N}(r, f)$, $E_L(S) = E_f(T)$, we obtain

$$(n-1)T(r, L) \leq \overline{N}(r, L) + \sum_{i=1}^n \overline{N}(r, \frac{1}{L - a_i}) + S(r, L),$$

$$(n-1)T(r, L) + S(r, L) \leq \sum_{i=1}^n \overline{N}(r, \frac{1}{f - b_i})$$

$$\leq nT(r, f) + S(r, f).$$

Similarly,

$$(n-1)T(r, f) \leq \overline{N}(r, f) + \sum_{i=1}^n \overline{N}(r, \frac{1}{f - b_i}) + S(r, f),$$

$$(n-1)T(r, f) \leq S(r, L) + \sum_{i=1}^n \overline{N}(r, \frac{1}{L - a_i}) + S(r, f),$$

$$(n-1)T(r, f) + S(r, f) \leq nT(r, L) + S(r, L).$$

Combining the above inequalities, we get

$$\begin{aligned} \frac{n-1}{n}T(r, L) + S(r, L) &\leq T(r, f) + S(r, f) \leq \frac{n}{n-1}T(r, L) + S(r, L), \\ \frac{n-1}{n}T(r, f) + S(r, f) &\leq T(r, L) + S(r, L) \leq \frac{n}{n-1}T(r, f) + S(r, f). \end{aligned}$$

Therefore $S(r, f) = S(r, L)$.

Lemma 3.1 is proved. $\blacksquare$

Set $S(r) = S(r, f) = S(r, L)$. From Lemma 3.1 and because f has finitely many poles and by Lemma 2.4 we obtain

$$(3.3) \quad N(r, L) = S(r) = N(r, f), \quad \rho(f) = \rho(L) = 1.$$

Write

$$P(z) = z^{n-m}R(z) + c, \quad Q(z) = z^{n-m}R_1(z) + t$$

where $R(z) = az^m + b$, $R_1(z) = uz^m + v$ are polynomials of degree m . Recall that $n \geq 2m + 3$.

Since f and L share S CM, we have

$$(3.4) \quad \frac{Q(f)}{P(L)} = \frac{f^{n-m}R_1(f) + t}{L^{n-m}R(L) + c} = R_2 e^{\varphi(z)},$$

where $R_2 \not\equiv 0$ is a rational function and $\varphi(z)$ is an entire function. Then $\rho(R_2) = 0$, by ([5], Theorem 1.4) and (3.3) we get

$$(3.5) \quad \rho(Q(f)) = \rho(f) = 1, \quad \rho(P(L)) = \rho(L) = 1.$$

From (3.4), (3.5), and Lemma 2.3 we have

$$\rho(e^{\varphi(z)}) = \rho\left(\frac{Q(f)}{R_2 P(L)}\right) \leq \max\{\rho(R_2), \rho(Q(f)), \rho(P(L))\} = \rho(L) = 1,$$

$$\varphi(z) = Az + B,$$

where A, B are constants. Set

$$(3.6) \quad l(z) = R_2 e^{\varphi(z)}.$$

Claim 1. *We have:*

$$l(z) = \frac{t}{c}.$$

From (3.6) it leads

$$T(r, l) \leq T(r, R_2) + T(r, e^\varphi) = \frac{|A|}{\pi}r + O(\log r).$$

Therefore, from Lemma 2.4 it follows that $T(r, l) = o(T(r, L))$, and by Lemma 3.1, $T(r, l) = o(T(r, f))$, too. This means that l is a small function for the functions f and L . Therefore

$$Q(f) = uf^n + vf^{n-m} + t = lP(L) = l(aL^n + bL^{n-m} + c),$$

$$T(r, l) = S(r), \quad T(r, Q(f)) = nT(r, f) + O(1) = nT(r, L) + S(r).$$

So

$$(3.7) \quad T(r, f) + S(r) = T(r, L) + S(r),$$

$$(3.8) \quad f^{n-m}(uf^m + v) - (lc - t) = lL^{n-m}(aL^m + b).$$

Suppose $l \neq \frac{t}{c}$. Then $lc - t \neq 0$. Applying another form of the First Fundamental Theorem and Second Fundamental Theorem for moving targets to the function $f^{n-m}(uf^m + v)$ and the values $0, lc - t$, and by using (3.3), (3.7), (3.8) and Lemma 3.1, we get

$$\begin{aligned} \overline{N}(r, \frac{1}{l}) &\leq T(r, l) + O(1) = S(r), \\ nT(r, f) + O(1) &= T(r, f^{n-m}R_1(f)) \\ &\leq \overline{N}(r, f^{n-m}R_1(f)) + \overline{N}(r, \frac{1}{f^{n-m}R_1(f)}) + \overline{N}(r, \frac{1}{f^{n-m}R_1(f) - (lc - t)}) + S(r) \\ &\leq \overline{N}(r, f) + \overline{N}(r, \frac{1}{f}) + N(r, \frac{1}{R_1(f)}) + \overline{N}(r, \frac{1}{l}) + \overline{N}(r, \frac{1}{L^{n-m}R(L)}) + S(r) \\ &\leq (1 + m)T(r, f) + \overline{N}(r, L) + \overline{N}(r, \frac{1}{L}) + N(r, \frac{1}{R(L)}) + S(r) \\ &\leq (1 + m)(T(r, f) + T(r, L)) + S(r) \\ &\leq (2m + 2)T(r, f) + S(r). \end{aligned}$$

Therefore

$$(n - 2m - 2)T(r, f) \leq S(r).$$

This is a contradiction to the assumption that $n \geq 2m + 3$. Thus $l \equiv \frac{t}{c}$, and

$$(3.9) \quad f^{n-m}R_1(f) = \frac{t}{c}L^{n-m}R(L), \quad uf^n + vf^{n-m} = \frac{t}{c}(aL^n + bL^{n-m}).$$

For simplicity, set $h = \frac{f}{L}$, and $\alpha = \frac{at}{cu} \neq 0; \beta = \frac{bt}{vc} \neq 0$. Then we obtain

$$uL^m(h^n - \frac{at}{cu}) = -v(h^{n-m} - \frac{bt}{vc}),$$

$$(3.10) \quad L^m(h^n - \alpha) = -\frac{v}{u}(h^{n-m} - \beta), \quad L^m = -\frac{v}{u} \frac{h^{n-m} - \beta}{h^n - \alpha}.$$

Claim 2. h is a constant.

Suppose by contradiction that h is non constant. We consider the following possible cases:

Case 1. Polynomials $z^n - \alpha$ and $z^{n-m} - \beta$ have no common zeros.

Then equation $z^n - \alpha = 0$ has n simple zeros $\alpha_1, \dots, \alpha_n$, and equation $z^{n-m} - \beta = 0$ has $n - m$ simple zeros $\beta_1, \dots, \beta_{n-m}$ such that $\beta_i \neq \alpha_j$.

Write

$$\begin{aligned} z^n - \alpha &= (z - \alpha_1)(z - \alpha_2) \cdots (z - \alpha_n), \\ z^{n-m} - \beta &= (z - \beta_1)(z - \beta_2) \cdots (z - \beta_{n-m}), \\ h^n - \alpha &= (h - \alpha_1)(h - \alpha_2) \cdots (h - \alpha_n), \\ h^{n-m} - \beta &= (h - \beta_1)(h - \beta_2) \cdots (h - \beta_{n-m}). \end{aligned}$$

Applying another forms of the two Fundamental Theorems to the function h and the values $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_{n-m}$, and noting that

$$mT(r, L) + O(1) = T(r, L^m) = T(r, h^n) = nT(r, h) + O(1),$$

$$S(r) = S(h, r) = N(r, L), \sum_{i=1}^n \overline{N}(r, \frac{1}{h - \alpha_i}) \leq N(r, L) = S(r),$$

we get

$$(2n - m - 2)T(r, h) \leq \sum_{i=1}^n \overline{N}(r, \frac{1}{h - \alpha_i}) + \sum_{i=1}^{n-m} \overline{N}(r, \frac{1}{h - \beta_i}) + S(h, r),$$

$$(2n - m - 2)T(r, h) \leq (n - m)T(r, h) + S(h, r), (n - 2)T(r, h) \leq S(h, r).$$

This is a contradiction to the assumption that $n \geq 2m + 3$.

Case 2. Polynomials $z^n - \alpha$ and $z^{n-m} - \beta$ have common zeros.

Let z_0 be a common zero of $z^n - \alpha$ and $z^{n-m} - \beta$, then we have $z_0^n = \alpha$ and $z_0^{n-m} = \beta$. From this and (3.10) we get

$$(3.11) \quad \frac{z^{n-m} - \beta}{z^n - \alpha} = \frac{\beta (\frac{z}{z_0})^{n-m} - 1}{\alpha (\frac{z}{z_0})^n - 1}, \quad \frac{h^{n-m} - \beta}{h^n - \alpha} = \frac{\beta (\frac{h}{z_0})^{n-m} - 1}{\alpha (\frac{h}{z_0})^n - 1},$$

$$L^m = -\frac{\beta v}{\alpha u} \frac{(\frac{h}{z_0})^{n-m} - 1}{(\frac{h}{z_0})^n - 1}, \quad L^m = -\frac{\beta v}{\alpha u} \frac{H^{n-m} - 1}{H^n - 1}, \quad \text{where } H = \frac{h}{z_0}.$$

We see that H is non constant from suppose that h is non constant. Set $(n, m) = t$, we have $t \leq m$ and polynomials $z^n - 1$ and $z^{n-m} - 1$ have t common

zeros. Then, the roots of $z^{n-m} - 1 = 0$ are different the roots of $z^n - 1 = 0$, except t common zeros. So equation $z^{n-m} - 1 = 0$ has $n - m - t$ simple zeros $\beta_1, \dots, \beta_{n-t}$, and equation $z^n - 1 = 0$ has $n - t$ simple zeros $\alpha_1, \dots, \alpha_{n-t}$ such that $\beta_i \neq \alpha_j$.

From (3.11) and by similar arguments as in the proof of Case 1 and noting that $S(H, r) = S(h, r) = S(r)$, we get

$$(2n - m - 2t - 2)T(r, H) \leq \sum_{i=1}^{n-t} \overline{N}(r, \frac{1}{H - \alpha_i}) + \sum_{i=1}^{n-m-t} \overline{N}(r, \frac{1}{H - \beta_i}) + S(H, r),$$

$$(2n - m - 2t - 2)T(r, H) \leq (n - m - t)T(r, H) + S(H, r), (n - t - 2)T(r, H) \leq S(H, r),$$

which is a contradiction since $n \geq 2m + 3$.

Hence from Case 1 and Case 2 we have that h is a constant and Claim 2 is proved.

That leads

$$l(aL^n + bL^{n-m} + c) = uf^n + vf^{n-m} + t, \quad l = \frac{t}{c},$$

$$(3.12) \quad alL^n + bL^{n-m} + cl = uh^nL^n + vh^{n-m}L^{n-m} + t.$$

Now we return proof the necessary condition of Theorem 1.

By equation (3.12) we get

$$l = \frac{t}{c}, h^n = \frac{al}{u}, \quad h^m = \frac{lv}{b} \frac{at}{uc}.$$

Therefore $h^n = \frac{at}{cu}$, $h^m = \frac{av}{ub}$. Now we prove $hS = T$. Take $a_i \in S, i = 1, \dots, n$. By Lemma 2.5, there exists $z_0 \in \mathbb{C}$ such that $L(z_0) - a_i = 0$. Moreover, from $lP(L) = Q(f)$ and (3.2) we obtain

$$P(L) = a(L - a_1) \dots (L - a_n), \quad u(f - b_1) \dots (f - b_n) = Q(f);$$

$$(3.13) \quad la(L - a_1) \dots (L - a_n) = u(f - b_1) \dots (f - b_n).$$

By (3.13) we see that: $L(z_0) - a_i = 0$ if and only if z_0 is a zero of $P(L)$ and $L(z_0) - a_j \neq 0$ with $i \neq j$, and therefore, there exists a unique $b_k \in \{b_1, \dots, b_n\}$ such that $f(z_0) - b_k = 0$. Because $f = hL$, we have $hL(z_0) - b_k = 0$, and therefore $ha_i = b_k$. From this and cardinalities of S, T are n it follows that $hS = T$.

The sufficient condition. We have:

$$P(L) = aL^n + bL^{n-m} + c, \quad Q(f) = uf^n + vf^{n-m} + t,$$

$$f = hL, h^n = \frac{at}{cu}, h^m = \frac{av}{ub}, Q(f) = uh^n L^n + vh^{n-m} L^{n-m} + t.$$

Therefore,

$$tP(L) = cQ(f) \text{ and } at(L - a_1) \dots (L - a_n) = cu(f - b_1) \dots (f - b_n).$$

From this it leads $E_L(S) = E_f(T)$.

2/ **The necessary condition.** We have: $E_{L_1}(S) = E_{L_2}(T)$. Then, by 1/ we get: $L_2 = hL_1$ and $hS = T$, where h is a non-zero constant satisfying $h^n = \frac{at}{cu}$, $h^m = \frac{av}{ub}$. By Lemma 2.6, we get $L_1 = L_2$, and then $h = 1$, $\frac{a}{u} = \frac{b}{v} = \frac{c}{t}$, and $S = T$.

The sufficient condition. By using the arguments similar to the ones in the sufficient condition of 1/ we get the sufficient condition of 2/.

Theorem 1 is proved. ■

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Vu Hoai An

Hai Duong University

Hai Phong

Vietnam

vuhoaianlinh@gmail.com

Nguyen Duy Phuong

Thai Nguyen University

Thai Nguyen

Vietnam

phuongnd@tnu.edu.vn